

ASHLI'S, INC.
MRN281332

BACKGROUND & APPLICATION OF INTENT REVIEW

1. Name of the Marijuana Establishment and its application number:

Ashli's, Inc.

2. Address of the Marijuana Establishment:

70 Frank Mossberg Drive, Attleboro, MA 02703

3. Type of license sought (if cultivation, its tier level and outside/inside operation):

Retail

4. Applicant is a licensee or applicant for other Marijuana Establishment license(s):

The applicant is not a licensee or applicant for any other Marijuana Establishment.

5. List of all required individuals and their business roles in the Marijuana Establishment:

Ashley Irving—Owner/Partner
Robert Irving—Owner/Partner
Roy Lambert—Owner/Partner
John Irving—Owner/Partner
James Figara—Director

These individuals are all associated with the following separate and distinct entities who are applicants for licensure:

- a. Ashli's Farm, Inc.; and
- b. Ashli's Extract, Inc.

6. List of all required entities and their roles in the Marijuana Establishment:

There is no other entity, other than the applicant, that appear to have direct or indirect authority over the Marijuana Establishment.

7. Priority status of applicant:

General applicant.

8. Location and status of business if it is an RMD:

Not applicable.

9. Information regarding host community agreement certification:

The Host Community Agreement was executed on June 6, 2018; certification provided.

10. Information regarding community outreach meeting:

The community outreach meeting was held on June 12, 2018.

Notice was published at least seven days prior in the Sun Chronicle.

The applicant certified notice to the municipality and abutters.

The applicant certified compliance with the community outreach meeting requirements.

11. Any objections regarding compliance with local ordinances and bylaws presented by the municipality:

No objections were communicated to the Commission. The Commission received a response from the municipality on October 10, 2018, stating that the applicant was in compliance with all local ordinances and bylaws.

12. Summary of plan to positively impact areas of disproportionate impact:

The applicant's plan includes the following programs:

- a. Establish a business mentorship program to assist economic empowerment applicants from Fall River, New Bedford, and Taunton with raising funds, obtaining local approval, and creating business plans in order to minimize barriers to the industry; and
- b. Establish a social equity mentorship program to assist individuals who want to work in or with the adult-use industry, such as entry-level workers, suppliers, and contractors.

The applicant will collect and assess data on these initiatives.

SUITABILITY REVIEW

13. Concerns arising from background checks on individuals associated with the application:

No concerns.

14. Concerns arising from background checks on entities associated with the application:

No concerns.

15. Applicant's disclosure of any past civil or criminal actions:

No disclosures.

16. Applicant's disclosure of any occupational license issues:

No disclosures.

17. Applicant's disclosure of any business interests in other jurisdictions:

No disclosures.

18. Status of applicant's compliance with the Department of Revenue and Secretary of the Commonwealth:

May 14, 2018 | Certificate of Good Standing, Secretary of the Commonwealth
June 12, 2018 | Certificate of Good Standing, Department of Revenue
(Letter ID: L1458857088)

MANAGEMENT AND OPERATIONS REVIEW

19. Applicant's proposed timeline to become operational in the adult-use market:

The applicant states that it will submit an architectural plan once provisionally licensed and has estimated that operations could commence in July 2019.

20. Applicant's proposed hours of operation:

Monday-Sunday: 8:00 a.m. to 8:00 p.m.

21. Applicant's compliance with submitting summaries of the following plans, policies and procedures:

- a. Security Plan
- b. Prevention of Diversion Plan
- c. Storage of Marijuana Plan
- d. Transportation Plan
- e. Inventory Procedures
- f. Quality Control and Testing Procedures
- g. Personnel Procedures
- h. Dispensing Procedures
- i. Record-Keeping Procedures
- j. Maintenance of Financial Records Policy
- k. Diversity Plan

The applicant is fully compliant with submitting all summaries. All summaries were determined to be substantially compliant with the requirements listed in 935 CMR 500.105 through 935 CMR 500.160 as applicable. Full compliance will be reviewed during inspections and will be required before a final license is issued.

22. Summary of diversity plan:

The applicant plans to do the following:

- a. Conduct community outreach workshops on various topics including employment opportunities;
- b. Participate in job fairs;
- c. Work with local job placement agencies that assist minorities and individuals with disabilities;
- d. Implement a hiring process that ensure equity, equality, and inclusion to minorities, women, veterans, individuals with disabilities, and people of all gender identities and sexual orientations.

The applicant will routinely gather data and assess the success of its diversity initiatives.

23. Summary of cultivation plan (if applicable):

Not applicable.

24. Summary of products to be produced and/or sold (if applicable):

Not applicable.

25. Plan for obtaining marijuana or marijuana products (if applicable):

The applicant will obtain marijuana and marijuana products primarily from its sister companies Ashli's Farm and Ashli's Extract. The applicant will purchase product from wholesale cultivators and manufacturers if the need arises.

26. ISO 17025 Certifying Body and Certificate Number (if applicable):

Not applicable.

RECOMMENDATION

Commission staff recommend issuing a provisional license with the following conditions:

- (1) Final license is subject to inspection and audit to ascertain compliance with the requirements listed in 935 CMR 500.105 through 935 CMR 500.160 as applicable;
- (2) Final license is subject to inspection and audit to ascertain that the facilities are compliant with all applicable state and local codes, bylaws, ordinances, and regulations;
- (3) The applicant shall cooperate with and provide information to Commission investigators, agents, and employees upon request; and
- (4) Provisional license is subject to the payment of the appropriate license fee pursuant to 935 CMR 500.005.

This recommendation was based on the applicant's demonstrated compliance with the laws and regulations of the Commonwealth, suitability for licensure, and upon the evaluation of the thoroughness of the applicant's responses to the required criteria.

Commission staff certify that a due diligence review of the application was performed. As of this date, the applicant has demonstrated compliance with the laws and regulations of the Commonwealth and

suitability for licensure. Accordingly, the applicant is recommended for provisional licensure with the previously mentioned conditions.