

NORTHEAST ALTERNATIVES, INC.
(MPN281319)

BACKGROUND & APPLICATION OF INTENT REVIEW

1. Name of the Marijuana Establishment and its application number:

Northeast Alternatives, Inc. (MPN281319)

2. Address of the Marijuana Establishment:

999 William S. Canning Boulevard, Fall River, MA 02721

3. Type of license sought (if cultivation, its tier level and outside/inside operation):

Product Manufacturer

4. Applicant is a licensee or applicant for other Marijuana Establishment license(s):

Retailer (Provisional License MR281314)
Cultivation (MCN281319)

5. List of all required individuals and their business roles in the Marijuana Establishment:

Christopher Harkins—Executive
Richard Rosier—Executive
Kyle Bishop—Executive
Zac Cooper—Executive
Jeffrey Johnson—Board Member
Andy Peloquin—Board Member
Jared Meehan—Board Member

6. List of all required entities and their roles in the Marijuana Establishment:

There are no entities, other than the applicant, that appear to have direct or indirect authority over the Marijuana Establishment.

7. Priority status of applicant:

RMD Priority (RP201952)

8. Location and status of business if it is an RMD:

The RMD is in compliance with the Department of Public Health (DPH).
The RMD business will be co-located with the adult-use business in Fall River.
The RMD has Final Certificate of Registration for dispensing, cultivation, and processing.

9. Information regarding host community agreement certification:

The Host Community Agreement was executed on April 13, 2018; certification provided.

10. Information regarding community outreach meeting:

The community outreach meeting was held on April 13, 2018.
Notice was published at least seven days prior in the Herald News.
The applicant certified notice to the municipality and abutters.
The applicant certified compliance with the community outreach meeting requirements.

11. Any objections regarding compliance with local ordinances and bylaws presented by the municipality:

No objections were communicated to the Commission. The Commission received a response from the municipality on August 28, 2018, stating that the applicant was in compliance with all local ordinances and bylaws.

12. Summary of plan to positively impact areas of disproportionate impact:

The applicant plans to do the following:

- i. Hire a diverse staff that have lived five of the last ten years in an area of disproportionate impact;
- ii. Conduct community service days and host charitable events in Fall River; and
- iii. Provide educational seminars in the areas of restorative justice, jail diversion, and workforce development.

SUITABILITY REVIEW

13. Concerns arising from background checks on individuals associated with the application:

No concerns.

14. Concerns arising from background checks on entities associated with the application:

No concerns.

15. Applicant's disclosure of any past civil or criminal actions:

No disclosures.

16. Applicant's disclosure of any occupational license issues:

No disclosures.

17. Applicant's disclosure of any business interests in other jurisdictions:

No disclosures of any marijuana-related business interests in other jurisdictions.

18. Status of applicant's compliance with the Department of Revenue and Secretary of the Commonwealth:

April 2, 2018 | Certificate of Good Standing, Secretary of the Commonwealth
(Certificate Number: 18040025110)

April 4, 2018 | Certificate of Good Standing, Department of Revenue
(Letter ID: L0855167104)

MANAGEMENT AND OPERATIONS REVIEW

19. Applicant's proposed timeline to become operational in the adult-use market:

The applicant is a vertically-integrated RMD that recently opened its medical-use operations to registered patients. The applicant states that it can be operational in the adult-use market within three months from being issued a provisional license.

20. Applicant's proposed hours of operation:

Monday-Saturday: 10:00 a.m. to 9:00 p.m.
Sunday: 12:00 p.m. to 9:00 p.m.

21. Applicant's compliance with submitting summaries of the following plans, policies and procedures:

- a. Security Plan
- b. Prevention of Diversion Plan
- c. Storage of Marijuana Plan
- d. Transportation Plan
- e. Inventory Procedures
- f. Quality Control and Testing Procedures
- g. Personnel Procedures
- h. Dispensing Procedures
- i. Record-Keeping Procedures
- j. Maintenance of Financial Records Policy
- k. Diversity Plan

The applicant is fully compliant with submitting all summaries. All summaries were determined to be substantially compliant with the requirements listed in 935 CMR 500.105 through 935 CMR 500.160 as applicable. Full compliance will be reviewed during inspections and will be required before a final license is issued.

22. Summary of diversity plan:

The applicant plans to do the following:

- i. Host career fairs, counseling sessions, and trainings for the benefit of underrepresented and minority communities;
- ii. Develop relationships with organizations serving minorities, women, people of all gender identities and sexual orientations, veterans, and persons with disabilities with the goal of attracting and hiring a diverse team; and
- iii. Measure progress of its diversity goals by requiring periodic workforce utilization reports to be conducted by its HR Director.

23. Summary of cultivation plan (if applicable):

Not applicable.

24. Summary of products to be produced and/or sold (if applicable):

The applicant plans to produce the following products:

- i. Oils;
- ii. Wax;
- iii. Shatter;
- iv. Oral tinctures;
- v. Edibles;
- vi. Lotions; and
- vii. Balms

25. Plan for obtaining marijuana or marijuana products (if applicable):

Not applicable.

26. ISO 17025 Certifying Body and Certificate Number (if applicable):

Not applicable.

RECOMMENDATION

Commission staff recommend issuing a provisional license with the following conditions:

- (1) Final license is subject to certification that applicant remains in compliance with DPH regulations, 105 CMR 725.000;
- (2) Final license is subject to inspection and audit to ascertain compliance with the requirements listed in 935 CMR 500.105 through 935 CMR 500.160 as applicable;
- (3) Final license is subject to inspection and audit to ascertain that its facilities are compliant with all applicable state and local codes, bylaws, ordinances, and regulations;
- (4) The applicant shall cooperate with and provide information to Commission investigators, agents, and employees upon request; and
- (5) Provisional license subject to the payment of the appropriate license fee pursuant to 935 CMR 500.005.

This recommendation was based on the applicant's demonstrated compliance with the laws and regulations of the Commonwealth, suitability for licensure, and upon the evaluation of the thoroughness of the applicant's responses to the required criteria.

Commission staff certify that a due diligence review of the application was performed. As of this date, the applicant has demonstrated compliance with the laws and regulations of the Commonwealth and suitability for licensure. Accordingly, the applicant is recommended for provisional licensure with the previously mentioned conditions.