



Massachusetts Cannabis Control Commission

Marijuana Retailer

Business Name:	Caroline's Cannabis, LLC	License Number:	MR281274
Tax Identification Number:	*****	Issued Date:	10/25/2018
Business Email Address:	caroline@carolinescannabis.com	Expiration Date:	10/25/2019
Business Phone Number:	774-243-0323	Revoked Date:	N/A
Mailing Address:	P.O. Box 175 Douglas MA 01516	Surrendered Date:	N/A
Business Address:	640 Douglas Street Uxbridge MA 01569		

CERTIFIED DISADVANTAGED BUSINESS ENTERPRISES (DBES)

Certified Disadvantaged Business Enterprises (DBEs): Woman-Owned Business

PRIORITY APPLICANT

Priority Applicant: no
Priority Applicant Type: Not a Priority Applicant
Economic Empowerment Applicant Certification Number:
RMD Priority Certification Number:

RMD INFORMATION

No documents uploaded

Name of RMD:
Department of Public Health RMD Registration Number:
Operational and Registration Status:
To your knowledge, is the existing RMD certificate of registration in good standing?:
If no, describe the circumstances below:

PERSONS WITH DIRECT OR INDIRECT AUTHORITY

Person with Direct or Indirect Authority 1

Percentage Of Ownership: 100	Percentage Of Control: 100			
Role: Owner / Partner	Other Role:			
First Name: Caroline	Middle Name: Eliza	Last Name: Frankel	Suffix: Mrs.	Former Last Name: Percy
Alias - 1:	Alias - 2:	Alias - 3:		
Phone: [REDACTED]	Email: [REDACTED]			
Primary Address 1: [REDACTED]	Primary Address 2:			
City: [REDACTED]	State: [REDACTED]	Zip Code: [REDACTED]		
Gender: Female	User Defined Gender:			
What is this person's race or ethnicity?: White (German, Irish, English, Italian, Polish, French)				

Specify Race or Ethnicity:

ENTITIES WITH DIRECT OR INDIRECT AUTHORITY

No records found

CLOSE ASSOCIATES AND MEMBERS

No records found

CAPITAL RESOURCES - INDIVIDUALS

Individual Contributing Capital 1

First Name: Caroline Middle Name: Eliza Last Name: Frankel Suffix: Mrs.
Email: Phone:
Address 1: Address 2:
City: State: Zip Code:
Types of Capital: Monetary/Equity, Other Type of Total Value of the Capital Provided: Percentage of Initial Capital:
Debt Capital: \$135000 100
Capital Attestation: Yes

CAPITAL RESOURCES DOCUMENTATION - INDIVIDUALS

Document Category	Document Name	Type	ID	Upload Date
Bank Record	Caroline Frankel-Personal Savings Acctt.pdf	pdf	5b0a198c11a2fe04237f7952	05/26/2018
Bank Record	Caroline Frankel-retirement portfolio report.pdf	pdf	5b0a1a8d4acea511a83699d7	05/26/2018
Bank Record	Caroline Frankel-Available credit line.pdf	pdf	5b0a1b9da6b56e3d6757244b	05/26/2018
Bank Record	Caroline Frankel-available credit line Discover.pdf	pdf	5b0a1cc875ce440437858c2a	05/26/2018
Bank Record	Caroline Frankel-available credit line Citi.pdf	pdf	5b0a1d89a9bf2311b8c6e22c	05/26/2018
Bank Record	Caroline's Cannabis-Certification of Funds.pdf	pdf	5b7b0a984e62492d8f34457f	08/20/2018

CAPITAL RESOURCES - ENTITIES

No records found

CAPITAL RESOURCES DOCUMENTATION - ENTITY

No documents uploaded

BUSINESS INTERESTS IN OTHER STATES OR COUNTRIES

No records found

BUSINESS INTEREST DOCUMENTATION

No documents uploaded

DISCLOSURE OF INDIVIDUAL INTERESTS

No records found

INDIVIDUAL INTEREST DOCUMENTATION

No documents uploaded

MARIJUANA ESTABLISHMENT PROPERTY DETAILS

Date generated: 07/15/2019

Document Category	Document Name	Type	ID	Upload Date
Documentation of Bond	Caroline's Cannabis-Bond Documentation.pdf	pdf	5b0f505fa6b56e3d675726c8	05/30/2018

Document Category	Document Name	Type	ID	Upload Date
Permission to Use Premises	Lease.pdf	pdf	5afcd5026fb0f811c22666c3	05/16/2018

Establishment Address 1: 640 Douglas Street

Establishment Address 2:

Establishment City: Uxbridge Establishment Zip Code: 01569

Approximate square footage of the establishment: 400 How many abutters does this property have?: 9

Have all property abutters been notified of the intent to open a Marijuana Establishment at this address?: Yes

HOST COMMUNITY INFORMATION

Document Category	Document Name	Type	ID	Upload Date
Certification of Host Community Agreement	Caroline's Cannabis-Host Community Agreement Certification.pdf	pdf	5b060d6c0d20bf11ae6d94d3	05/23/2018
Community Outreach Meeting Documentation	Caroline's Cannabis-Community outreach mtg. documents.pdf	pdf	5b0a00a8ad75cc3d99a99d17	05/26/2018
Plan to Remain Compliant with Local Zoning	Caroline's Cannabis-plan to remain compliant with local zoning.pdf	pdf	5b0f51f5ddfb91046e6112eb	05/30/2018

No documents uploaded

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Total amount of financial benefits accruing to the municipality as a result of the host community agreement: \$

PLAN FOR POSITIVE IMPACT

Document Category	Document Name	Type	ID	Upload Date
Plan for Positive Impact	Caroline's Cannabis-Plan to positively impact areas of disproportionate impact.pdf	pdf	5b0e0f08ddfb91046e6111f0	05/29/2018
Plan for Positive Impact	Caroline's Cannabis-Amended Postive Impact Plan .pdf	pdf	5b7b0b6d89bc002d9918a719	08/20/2018
Plan for Positive Impact	Caroline's Cannabis-9.12.18 Amended Postive Impact Plan .pdf	pdf	5b997a043f9f81395f1372ce	09/12/2018

ADDITIONAL INFORMATION NOTIFICATION

Notification: I understand

INDIVIDUAL BACKGROUND INFORMATION

Individual Background Information 1

Role: Owner / Partner

Other Role:

First Name: Caroline

Middle Name: Eliza

Last Name: Frankel

Suffix:

Former Last Name:

Alias 1: [REDACTED] Alias 2: [REDACTED] Alias 3: [REDACTED]

Phone: [REDACTED] Email: [REDACTED]

Primary Address 1: [REDACTED] Primary Address 2: [REDACTED]

Primary City: [REDACTED] Primary State: [REDACTED] Primary Zip Code: [REDACTED] Years at this Address: [REDACTED]

Date of Birth: [REDACTED]

RMD Association: Not associated with an RMD

Background Question: no

Description of Background Events:

BACKGROUND CHECK SUPPORTING DOCUMENTATION

Document Category	Document Name	Type	ID	Upload Date
MA Driver's License	Caroline Frankel-Drivers License.pdf	pdf	5b0c9f6700caab11e09ca3ee	05/28/2018
Disclosure and acknowledgement form	Caroline Frankel-Disclosure & acknowledgement form.pdf	pdf	5b0ca3034acea511a8369a68	05/28/2018
IVES form 4506-T	Caroline Frankel-IVES form 4506-T.pdf	pdf	5b0ca4a5a9bf2311b8c6e299	05/28/2018
Release authorization form	Caroline Frankel-Release Authorization.pdf	pdf	5b0ca5c33deece0450ce9251	05/28/2018
Massachusetts CORI Authorization Form	Caroline Frankel-CORI Acknowledgement Form.pdf	pdf	5b0df33b9bcf5a047e352116	05/29/2018

ENTITY BACKGROUND CHECK INFORMATION

No records found

MASSACHUSETTS BUSINESS REGISTRATION

Document Category	Document Name	Type	ID	Upload Date
Secretary of Commonwealth - Certificate of Good Standing	Caroline's Cannabis-Letter of Good Standing.pdf	pdf	5b0cad451f5e4d0443cb6b63	05/28/2018
Department of Revenue - Certificate of Good standing	Caroline's Cannabis-Certificate of Good Standing from DOR.pdf	pdf	5b0caeccf5ed5811d6e455bc	05/28/2018
Articles of Organization	Caroline's Cannabis Articles of Organization.pdf	pdf	5b0cb13752bc563da3bfe7c1	05/28/2018
Bylaws	Operating Agreement of Caroline's Cannabis, LLC..pdf	pdf	5b0cb2319bcf5a047e35205e	05/28/2018
Articles of Organization	Caroline's Cannabis-Articles of Org..pdf	pdf	5b7b13b40d95792d85f42847	08/20/2018

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Massachusetts Business Identification Number: 001284542

Doing-Business-As Name: Caroline's Cannabis, LLC

DBA Registration City: Uxbridge

BUSINESS PLAN

Document Category	Document Name	Type	ID	Upload Date
Business Plan	Caroline's Cannnbis-Business Plan.pdf	pdf	5b037b0fa6b56e3d675720aa	05/21/2018
Proposed Timeline	Caroline's Cannabis-Proposed Timeline.pdf	pdf	5b037e5f5ba56c042922c2d7	05/21/2018
Plan for Liability Insurance	Caroline's Cannabis-plan for obtaining insurance.pdf	pdf	5b0cb4745ba56c042922c719	05/28/2018

OPERATING POLICIES AND PROCEDURES

Document Category	Document Name	Type	ID	Upload Date
Plan for obtaining marijuana or marijuana products	Caroline's Cannabis-plan for obtaining marijuana or marijuana products.pdf	pdf	5b0982d34acea511a83699c3	05/26/2018
Separating recreational from medical operations, if applicable	Caroline's Cannabis-separating rec. from medical operations-Non-applicable.pdf	pdf	5b098b755ba56c042922c6b4	05/26/2018
Restricting Access to age 21 and older	Caroline's Cannabis-Restricting Access to age 21 and older.pdf	pdf	5b098f210d20bf11ae6d9653	05/26/2018
Security plan	Caroline's Cannabis-Security Plan.pdf	pdf	5b099409b416c03d71120433	05/26/2018
Prevention of diversion	Caroline's Cannabis-Prevention of diversion.pdf	pdf	5b0997971fc0413d614feab8	05/26/2018
Storage of marijuana	Caroline's Cannabis-storage of marijuana.pdf	pdf	5b099a14b416c03d7112043b	05/26/2018
Transportation of marijuana	Caroline's Cannabis-Transportation of Marijuana.pdf	pdf	5b09a3f96fb0f811c2266c28	05/26/2018
Inventory procedures	Caroline's Cannabis-Inventory procedures.pdf	pdf	5b09a642b2a9e2046441c6e4	05/26/2018
Quality control and testing	Caroline's Cannabis-Quality control & testing.pdf	pdf	5b09aafd5ba56c042922c6bf	05/26/2018
Dispensing procedures	Caroline's Cannabis-Dispensing procedures.pdf	pdf	5b09ad54b416c03d7112043f	05/26/2018
Personnel policies including background checks	Caroline's Cannabis-Personnel policies.pdf	pdf	5b09b10b1f5e4d0443cb6afa	05/26/2018
Record Keeping procedures	Caroline's Cannabis-Record Keeping procedures.pdf	pdf	5b09b3e1a999e33d850640bb	05/26/2018
Maintaining of financial records	Caroline's Cannabis-Maintaining Financial Records.pdf	pdf	5b09b84bf5ed5811d6e4555c	05/26/2018
Diversity plan	Caroline's Cannabis-Diversity Plan.pdf	pdf	5b09b936ad75cc3d99a99d0f	05/26/2018
Qualifications and training	Caroline's Cannabis-Qualifications & Training.pdf	pdf	5b09bd1e9eb86611ea7d4644	05/26/2018
Storage of marijuana	Caroline's Cannabis-amended storage of marijuana.pdf	pdf	5b7b1761da72283955c6091b	08/20/2018
Dispensing procedures	Caroline's Cannabis-Amended Dispensing procedures.pdf	pdf	5b7b1ef4185bb22d71065d73	08/20/2018
Diversity plan	Caroline's Cannabis-Amended-Diversity Plan.pdf	pdf	5b7b7e0c3774233941393e99	08/20/2018

ATTESTATIONS

I certify that no additional entities or individuals meeting the requirement set forth in 935 CMR 500.101(1)(b)(1) or 935 CMR 500.101(2)(c)(1) have been omitted by the applicant from any marijuana establishment application(s) for licensure submitted to the Cannabis Control Commission.:

I understand that the regulations stated above require an applicant for licensure to list all executives, managers, persons or entities having direct or indirect authority over the management, policies, security operations or cultivation operations of the Marijuana Establishment; close associates and members of the applicant, if any; and a list of all persons or entities contributing 10% or more of the initial capital to operate the Marijuana Establishment including capital that is in the form of land or buildings.:

I certify that any entities who are required to be listed by the regulations above do not include any omitted individuals, who by themselves, would be required to be listed individually in any marijuana establishment application(s) for licensure submitted to the Cannabis Control Commission.:

Notification: I Understand

I certify that any changes in ownership or control, location, or name will be made pursuant to a separate process, as required under 935 CMR 500.104(1), and none of those changes have occurred in this application.:

I certify that to the best knowledge of any of the individuals listed within this application, there are no background events that have arisen since the issuance of the establishment's final license that would raise suitability issues in accordance with 935 CMR 500.801.:

I certify that all information contained within this renewal application is complete and true.:

ADDITIONAL INFORMATION NOTIFICATION

Notification: I Understand

COMPLIANCE WITH POSITIVE IMPACT PLAN

No records found

COMPLIANCE WITH DIVERSITY PLAN

No records found

HOURS OF OPERATION

Monday From: Monday To:

Tuesday From: Tuesday To:

Wednesday From: Wednesday To:

Thursday From: Thursday To:

Friday From: Friday To:

Saturday From: Saturday To:

Sunday From: Sunday To:

EMERGENCY CONTACTS

No records found